

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

jc997 U.S. PTO
09/063396
09/27/01


In re Patent Application of

SMITH et al.

Atty. Ref.: 3691-311

Serial No. To Be Assigned

Group:

Filed: September 27, 2001

Examiner:

For: EXTRUDED AUTOMOTIVE TRIM AND METHOD OF
MAKING SAME

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Hilmer
1-207

* * * * *

September 27, 2001

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

- 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)**
[within 3 months of filing or prior to 1st Office Action] N/C
- 2. **Statement Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance] N/C
- 3. **Fee Payment Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance] \$180.00
- 4. **Pursuant to 37 CFR 1.97(d)**
[before Issue Fee payment] \$180.00

The following are submitted in the above-identified application in compliance with 37
C.F.R. §§ 1.97 and 1.98:

- 5. A list of documents on Form PTO-1449. Actual copies of the references listed on the attached form PTO-1449 are not included herewith in accordance with MPEP §609, these references being previously submitted in any and all of the parent applications. The parent applications include U.S. applications Serial Nos. 09/416,888 and 09/210,852.

This paper is submitted in accordance with:

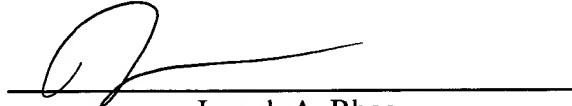
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- 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
 - a) The required Statement made in item 9 below; or
 - b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- 8. 37 CFR §1.97(d): [before issue fee payment]; and
 - a) The fee (\$180.00) required by 37 CFR §1.17(p) is authorized in item 10 below; and
 - b) The required Statement is stated in item 9 below.
- 9. Statement under 37 CFR 1.97(e)
 - a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
 - b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- 10. Please charge all applicable fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:



Joseph A. Rhoa
Reg. No. 37,515

JAR:caj

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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

3691-311

Application Number

Sienkiewicz, et. al.

Filing Date 9/27/01

Group Art Unit

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4828637	5/1989	MENTZER, ET. AL.			
	4902557	2/1990	ROHRBACHER			
	4931324	6/1990	ELLISON, ET. AL.			
	4957802	9/1990	MENTZER, ET. AL.			
	5226998	7/1993	FEW			
	5300587	4/1994	MASCIA, ET. AL.			
	5306548	4/1994	ZABROCKI, ET. AL.			
	5316703	5/1994	SCH RBNK			
	5409653	4/1995	MALM			
	5441816	8/1995	GROHMAN			
	5455289	10/1995	CASELLI			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
					YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

<p>Form PTO-1449</p> <p>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</p> <p>(Use several sheets if necessary)</p>				Docket Number (Optional)	Application Number		
				3691-311			
				Applicant	SIENKIEWICZ, ET. AL.		
				Filing Date	9/27/01		
				Group Art Unit			
U. S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FLING DATE IF APPROPRIATE	
	5 478 516	12/1995	MALM, ET. AL.				
	5 486 327	1/1996	BEMIS, ET. AL.				
	5 496 630	3/1996	HAWRYLKO, ET. AL.				
	5 532 045	7/1996	WADE				
	5 545 448	8/1996	FORD, ET. AL.				
	5 562 931	10/1996	TAKEUCHI				
	5 599 608	7/1997	YAMAMOTO, ET. AL.				
	5 707 697	1/1998	SPAIN, ET. AL.				
	5 725 712	3/1998	SPAIN, ET. AL.				
	5 750 234	5/1998	JOHNSON, ET. AL.				
	5 759 477	6/1998	YAMAMOTO				
FOREIGN PATENT DOCUMENTS						Translation	
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			
<p>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.</p>							

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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

3691-211

Application Number

Applicant

SIEN KIEWICZ, ET. AL.

Filing Date

9/27/01

Group Art Unit

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FLING DATE IF APPROPRIATE
	5 7712827	6/1998	MALM			
	5 811053	7/1998	OTA, ET. AL.			
	5 866054	7/1999	DORCHESTER, ET. AL.			
	5 912283	6/1999	HASHIZUME, ET. AL.			
	5 916643	6/1999	SPAIN, ET. AL.			
	5 919537	7/1999	NIAZY			
	5 037680	8/1991	PAPENDICK, ET. AL.			
	5 939195	8/1999	ALLEN, ET. AL.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

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